



# California Fair Political Practices Commission

April 14, 1989

Honorable Frederick A. Jones  
Judge of the Superior Court  
800 South Victoria Avenue  
Ventura, CA 93009

Re: Your Request for Advice  
Our File No. A-89-169

Dear Judge Jones:

This will confirm our telephone conversation of February 22, 1989, in which we discussed the use of your accumulated campaign funds in light of the decision in California Common Cause v. FPCC (Los Angeles County Superior Court Case No. C709383.) Since those funds were acquired prior to January 1, 1989, they would be restricted funds to be used for any lawful purpose other than to support or oppose a candidate. (Government Code Section 85306.) Thus, even though these funds were composed of contributions within statutory limits and deposited in a campaign bank account for a future campaign, the funds could not be used for future campaign expenditures unless the California Common Cause decision were reversed on appeal. I sent you a copy of Section 18536.2 of the California Code of Regulations which sets forth appropriate uses of restricted funds.

As I explained to you, the California Common Cause case is still subject to appeal. We have been advising that no action be taken with respect to the disposition of restricted funds pending the appeal. If the funds now are placed in a restricted account, that election probably could not be reversed in the event that the California Common Cause decision were reversed on appeal.

Notwithstanding this advice, you have decided not to await the outcome of the California Common Cause decision. You would prefer to close your campaign bank account and use the accumulated funds to repay campaign loans owed by your 1978 Municipal Court election committee. You have outlined the steps that you believe would be appropriate to accomplish

Honorable Frederick A. Jones  
April 14, 1989  
Page 2

this result in your letter of March 14, 1989 (copy enclosed.)  
We have reviewed the proposed sequence and agree that it  
would be an acceptable way to proceed.

If you have any questions about this letter, I may be  
reached at (916) 322-5901.

Sincerely,

Diane M. Griffiths  
General Counsel

A handwritten signature in cursive script, appearing to read "Margaret W. Ellison".

By: Margaret W. Ellison  
Counsel, Legal Division

DMG:MWE:aa

Enclosure

FPFC  
Mar 20 9 42 AM '89

CHAMBERS OF  
**The Superior Court**

**FREDERICK A. JONES**  
HALL OF JUSTICE  
800 SOUTH VICTORIA AVENUE  
VENTURA, CA 93009  
(805) 654-2915

March 14, 1989

Ms. Margaret Ellison  
Staff Attorney  
Fair Political Practices Commission  
Post Office Box 807  
Sacramento, California 95804-0807

Dear Ms. Ellison:

Thank you for your recent advice regarding my apparently somewhat unique Proposition 73 predicament.

I have reviewed the materials you provided and do now agree that, given California Common Cause v. FPFC (Los Angeles Superior Court No. C709383), the election campaign money I accumulated while a judge of the Municipal Court may not continue to be held or later spent to promote my election as judge of the Superior Court. From among the available courses we discussed, I have decided to use the funds to partially repay the still outstanding loans made in 1978 to my Municipal Court election committee. This will exhaust all funds on deposit leaving nothing to be carried over for a future, Superior Court election campaign even if a change in law permitting same should occur.

We did not discuss the procedural requirements of such a transfer and disbursement, but it seems to me that the following described sequence is appropriate:

1. Open a bank checking account in the name of my existing Municipal Court judge election controlled committee for the sole purpose of receiving funds from the Superior Court re-election FPFC Form 502 Campaign Bank Account. As I understand the existing regulations no new FPFC Form 502 relating to the establishment of this conduit account need be submitted.

2. Transfer all money on deposit in the above Form 502 account to the Municipal Court election account and thereby terminate the Form 502 account. Upon such transfer and deposit, file an amended FPFC Form 502 formally notifying the FPFC of such termination.

Ms. Margaret Ellison  
March 14, 1989  
Page Two

3. Upon closure of the FPCC Form 502 bank account, file with the Secretary of State a Form 415 Statement of Termination ending the existence of the Superior Court re-election committee which was formed for the sole purpose of controlling the FPCC Form 502 Campaign Bank Account. Also, file a new FPCC Form 501 terminating my earlier announced intention to seek the office of Superior Court Judge in November 1994.

4. From the Municipal Court election committee checking account repay campaign loans until the funds are exhausted and then,

5. Terminate the Municipal Court election committee and close the controlled account.

As I mentioned, I am anxious to comply with existing law as presently interpreted, exhaust all funds on hand, engage in no solicitation of campaign funds in the near future, and terminate all election committees.

If you conclude any of my intended steps to these ends are inappropriate or not permitted, please so inform me.

Sincerely,

*Frederick A. Jones*

FREDERICK A. JONES  
Judge of the Superior Court

FAJ:spg



# California Fair Political Practices Commission

March 22, 1989

Honorable Frederick A. Jones  
Judge of the Superior Court  
Hall of Justice  
800 South Victoria Avenue  
Ventura, CA 93009

Re: Letter No. 89-169

Dear Judge Jones:

We received your letter requesting confirmation of advice under the Political Reform Act on March 20, 1989. Your letter has been assigned to Margaret Ellison for response. If you have any questions, you may contact Ms. Ellison directly at (916) 322-5901.

If the letter is appropriate for confirmation without further analysis, we will attempt to expedite our response. A confirming response will be released after it has gone through our approval process. If the letter is not appropriate for this treatment, the staff person assigned to prepare the response will contact you shortly to advise you. In such cases, the normal analysis, review and approval process will be followed.

You should be aware that your letter and our response are public records which may be disclosed to any interested person upon receipt of a proper request for disclosure.

Sincerely,

Diane M. Griffiths  
General Counsel

DMG:plh:confadv1

CHAMBERS OF  
**The Superior Court**

**FREDERICK A. JONES**

HALL OF JUSTICE  
800 SOUTH VICTORIA AVENUE  
VENTURA, CA 93009  
(805) 654-2915

FILED  
JUN 3 1989

June 2, 1989

Ms. Margret Ellison  
Counsel, Legal Division  
California Fair Political  
Practices Commission  
Post Office Box 807  
Sacramento, California 95804-0807

Re: File #A-89-169

Dear Ms. Ellison:

Enclosed for your information herewith are copies of the Form 410 Statements of Organization submitted to the Secretary of State, and for the Ventura County Clerk as required to comply with Proposition 73 campaign fund restrictions.

Thank you for your cooperation in this matter.

Very truly yours,

*Frederick A. Jones*

FREDERICK A. JONES  
Judge of the Superior Court

FAJ:spg  
Enclosures

CHAMBERS OF  
**The Superior Court**

**FREDERICK A. JONES**

HALL OF JUSTICE  
800 SOUTH VICTORIA AVENUE  
VENTURA, CA 93009  
(805) 654-2915

June 1, 1989

Richard Dean, County Clerk  
County of Ventura  
800 South Victoria Avenue  
Ventura, California 93009

Dear Mr. Dean:

Enclosed is Form 410 (Amendment) pertaining to the Frederick A. Jones, Superior Court Judge, Re-election Committee originally filed with your office on or about December 30, 1988.

The purpose of this amendment is to bring subsection VII into conformity with that Form 410 to be filed with the Secretary of State on or about June 5, 1989.

Thank you for your cooperation in this matter.

Very truly yours,

*Frederick A. Jones*

FREDERICK A. JONES  
Judge of the Superior Court

FAJ:spg

Enclosures

cc - Ms. Margaret Ellison, File No. A-89-169  
Counsel, Legal Division  
California Fair Political  
Practices Commission



Form 410  
1989

FILE AN ORIGINAL AND ONE  
COPY OF THIS FORM WITH:

Secretary of State  
Political Reform Division  
P.O. Box 1467  
Sacramento, CA 95812-1467

AND, IF APPLICABLE, FILE ONE  
COPY OF THIS FORM WITH:

The city or county filing officer, if any,  
with whom the committee must file its  
original campaign disclosure  
statements

# STATEMENT OF ORGANIZATION (RECIPIENT COMMITTEE)

(Government Code Sections 84101, 84103)

IF AMENDMENT  
ENTER I.D. NUMBER

**AMENDMENT**

(Type or Print in ink)

FULL NAME OF COMMITTEE:

FREDERICK A. JONES, SUPERIOR COURT JUDGE, REELECTION COMMITTEE

STREET ADDRESS OF COMMITTEE:

NO. AND STREET

CITY

STATE

ZIP CODE

COUNTY

560 RANCHO VISTA LANE, SANTA PAULA, CALIFORNIA 93060

VENTURA

MAILING ADDRESS (IF DIFFERENT):

NO. AND STREET (OR P.O. BOX)

CITY

STATE

ZIP CODE

DATE QUALIFIED AS COMMITTEE (MO., DAY, YR.):

DECEMBER 30, 1988

AREA CODE

805

PHONE NUMBER

654-2915

A FOR OFFICIAL USE ONLY

B FOR OFFICIAL USE ONLY

## I TREASURER AND OTHER PRINCIPAL OFFICERS

| POSITION  | NAME AND ADDRESS AND MAILING ADDRESS, IF DIFFERENT | (AREA CODE) | BUSINESS PHONE NO. |
|-----------|--|-------------|--------------------|
| TREASURER | FREDERICK A. JONES                                 | 805         | 654-2915           |
|           |  |             |                    |
|           |  |             |                    |

Attach additional information on appropriately labeled continuation sheets.

## II IS THIS A BROAD BASED POLITICAL COMMITTEE? (See definition and important information on reverse.)

☐ YES Enter the date on or before which the committee qualified as a broad based committee: \_\_\_\_\_

☒ NO

## III IS THIS COMMITTEE CONTROLLED BY A CANDIDATE, OFFICEHOLDER OR STATE MEASURE PROPONENT? (See definition and important information on reverse.)

☒ YES (Complete the following)

☐ NO

IF THIS COMMITTEE IS CONTROLLED BY AN OFFICEHOLDER OR A CANDIDATE, THE NAME OF THE CONTROLLING CANDIDATE OR OFFICEHOLDER, THE ELECTIVE OFFICE SOUGHT OR HELD AND DISTRICT NUMBER, IF ANY, MUST BE LISTED. IF THIS IS A BALLOT MEASURE COMMITTEE CONTROLLED BY MORE THAN ONE CANDIDATE, THE NAME OF EACH CONTROLLING CANDIDATE MUST BE LISTED. IF THIS COMMITTEE IS CONTROLLED BY A STATE MEASURE PROPONENT, THE NAME OF THE STATE MEASURE PROPONENT MUST BE LISTED.

FREDERICK A. JONES

SUPERIOR COURT JUDGE

VENTURA COUNTY

Attach additional information on appropriately labeled continuation sheets.

## IV IS THIS COMMITTEE ACTING JOINTLY WITH OTHER COMMITTEES?

☐ YES (Complete the following)

☒ NO

NAMES OF COMMITTEES WITH WHICH THIS COMMITTEE ACTS JOINTLY. ALSO PROVIDE THE COMMITTEES' IDENTIFICATION NUMBERS OR THE TREASURERS' NAMES AND PERMANENT STREET ADDRESSES.

|  |  |
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|  |  |

Attach additional information on appropriately labeled continuation sheets.

**YOU MUST COMPLETE THE VERIFICATION ON PAGE 2**

For information required to be provided to you pursuant to the Information Practices Act of 1977, see "Information Manual on Campaign Disclosure Provisions of the Political Reform Act."



**INSTRUCTIONS FOR PREPARING COVER PAGE  
STATEMENT OF ORGANIZATION  
FORM 410**

**NAME AND ADDRESS OF COMMITTEE:**

Provide the full name of the committee. A committee may use only one name. If this committee is controlled by an individual, entity or other person, the name of the individual, entity or other person must be included in the name of the committee. For example, when a candidate, officeholder or proponent of a state ballot measure controls a committee, his or her name must be included in the name of the committee.

There are special rules for "sponsored committees," see Part V

In the case of a committee supporting or opposing a ballot measure as its principal activity, all references to the committee required by the Political Reform Act must include the statement, "Committee For Proposition \_\_\_\_\_," if supporting a measure, or "Committee Against Proposition \_\_\_\_\_," if opposing a measure.

The street address of the committee must be reported. A post office box number is *NOT* acceptable.

The mailing address of the committee must be reported if it is different from the committee's street address. A post office box number *IS* acceptable for the mailing address.

**DATE QUALIFIED AS A COMMITTEE:**

Date qualified is the date the committee received contributions totaling \$1,000 or more during a calendar year. If a Form 410 is filed prior to receipt of \$1,000 in contributions, enter "Not Yet Qualified" in the "Date Qualified as Committee" space.

**I. TREASURER AND OTHER PRINCIPAL OFFICERS:**

The treasurer's name, home or business address, a mailing address, if different than home or business address, and a phone number where the treasurer can be reached during business hours must be listed.

If the committee has "principal officers" other than the treasurer, such as a president, secretary or chairperson, they must be identified.

**II. IS THIS A BROAD BASED POLITICAL COMMITTEE?**

To qualify as a "broad based committee," the committee may not be controlled by a candidate or officeholder, the committee must have been in existence for more than six months, must have received contributions from 100 or more persons at any time during the period covered by the current fiscal year (July 1 - June 30) and the previous two fiscal years, and must have made contributions to five or more candidates at any time during the period covered by the current fiscal year and the previous two fiscal years.

Enter the date on or before which the committee qualified as a broad based committee. If the committee qualified as a broad based committee on or before January 1, 1989, enter "On or before January 1, 1989."

**III. IS THIS COMMITTEE CONTROLLED BY A CANDIDATE, OFFICEHOLDER OR PROPONENT OF A STATE BALLOT MEASURE?**

A committee is controlled by a candidate, officeholder or proponent of a state ballot measure if the candidate, officeholder or proponent, their agent or any other committee they control, has a significant influence on the actions or decisions of the committee.

Because of new provisions contained in Proposition 73 which was passed by the voters on June 7, 1988, candidates and officeholders may not jointly control committees formed to support their own candidacies, or committees formed to support or oppose other candidates. Candidates and officeholders may jointly control a committee whose only activity is supporting or opposing a ballot measure.

COMMITTEE FREDERICK A. JONES, SUPERIOR COURT JUDGE, REELECTION COMMITTEE

V IS THIS A SPONSORED COMMITTEE? (Refer to the instructions on the reverse side for definitions and rules regarding the name of a sponsored committee.)

☒ NO

☐ YES (Provide name and address of sponsor. If the committee has more than one sponsor, provide the name and address of each sponsor on an attachment.)

Name of Sponsor: \_\_\_\_\_

Address of Sponsor: \_\_\_\_\_

VI IF PRIMARILY FORMED TO SUPPORT OR OPPOSE SPECIFIC CANDIDATES OR MEASURES, LIST SPECIFIC CANDIDATES OR MEASURES SUPPORTED OR OPPOSED.

| CANDIDATE'S NAME/MEASURE'S FULL TITLE<br>INCLUDING BALLOT NUMBER OR LETTER | SUPPORT | OPPOSE | OFFICE SOUGHT OR HELD BY CANDIDATE OR MEASURE'S<br>JURISDICTION (Include district number, city or county, as applicable.) |
|--|---------|--------|---|
| FREDERICK A. JONES   | XX      |        | SUPERIOR COURT JUDGE  |
|  |         |        | VENTURA COUNTY  |
|  |         |        |   |

Attach additional information on appropriately labeled continuation sheets.

VII COMMITTEE'S PRINCIPAL ACTIVITY IF NOT SUPPORTING OR OPPOSING SPECIFIC CANDIDATES OR MEASURES -- PLEASE CHECK BOX TO INDICATE THE COMMITTEE'S LEVEL OF ACTIVITY: ☐ CITY ☐ COUNTY ☐ STATE

Attach additional information on appropriately labeled continuation sheets.

VIII YOU MUST SPECIFY WHAT DISPOSITION WILL BE MADE OF SURPLUS FUNDS IN THE EVENT OF TERMINATION.

ALL FUNDS TO BE TRANSFERRED TO THE CITIZEN COMMITTEE TO ELECT FREDERICK A.

JONES MUNICIPAL COURT JUDGE, ID # 781378

#### VERIFICATION

I HAVE USED ALL REASONABLE DILIGENCE IN PREPARING THIS STATEMENT. I HAVE REVIEWED THE STATEMENT AND TO THE BEST OF MY KNOWLEDGE THE INFORMATION CONTAINED HEREIN IS TRUE AND COMPLETE.

I CERTIFY UNDER PENALTY OF PERJURY UNDER THE LAWS OF THE STATE OF CALIFORNIA THAT THE FOREGOING IS TRUE AND CORRECT.

EXECUTED ON \_\_\_\_\_ AT VENTURA, CA BY \_\_\_\_\_  
(DATE) (CITY AND STATE) (SIGNATURE OF TREASURER)

EXECUTED ON \_\_\_\_\_ AT VENTURA, CA BY \_\_\_\_\_  
(DATE) (CITY AND STATE) (SIGNATURE OF CONTROLLING CANDIDATE, OFFICEHOLDER OR STATE MEASURE PROPONENT)

EXECUTED ON \_\_\_\_\_ AT \_\_\_\_\_ BY \_\_\_\_\_  
(DATE) (CITY AND STATE) (SIGNATURE OF CONTROLLING CANDIDATE, OFFICEHOLDER OR STATE MEASURE PROPONENT)

EXECUTED ON \_\_\_\_\_ AT \_\_\_\_\_ BY \_\_\_\_\_  
(DATE) (CITY AND STATE) (SIGNATURE OF CONTROLLING CANDIDATE, OFFICEHOLDER OR STATE MEASURE PROPONENT)

**INSTRUCTIONS FOR PREPARING PAGE TWO  
STATEMENT OF ORGANIZATION**

**FORM 410**

**V SPONSORED COMMITTEE:**

"Sponsored committee" means a recipient committee, other than a candidate's or officeholder's controlled committee, which has one or more sponsors. Most political action committees are "sponsored committees."

An organization, business or other entity is a sponsor if any of the following apply:

- (1) The committee receives all or nearly all of its contributions from the entity or organization, or its members, officers, employees, or shareholders; or
- (2) The entity or organization collects contributions for the committee by use of payroll deductions or dues from its members, officers or employees; or
- (3) The entity or organization, alone or in combination with other organizations or entities, provides all or nearly all of the administrative services for the committee; or
- (4) The entity or organization, alone or in combination with other organizations, sets the policies for soliciting contributions or making expenditures of committee funds.

A sponsored committee (this includes most political action committees), must include the name of its sponsor in the name of the committee. If the sponsored committee has more than one sponsor and the sponsors are members of an industry or other identifiable group, the name of the committee must include a term identifying that industry or group.

**VI IF PRIMARILY FORMED TO SUPPORT OR OPPOSE SPECIFIC CANDIDATES OR MEASURES, LIST SPECIFIC CANDIDATES OR MEASURES SUPPORTED OR OPPOSED:**

A committee is "primarily formed" when its principal activity is raising or spending money to support or oppose a specific candidate or measure or a group of specific candidates all being voted upon in the same jurisdiction on the same date. This type of committee is usually formed for a specific election and then terminates after the election when all debts have been paid and there are no surplus funds.

**VII COMMITTEE'S PRINCIPAL ACTIVITY IF NOT SUPPORTING OR OPPOSING SPECIFIC CANDIDATES OR MEASURES:**

A committee which does not support or oppose specific candidates or measures as its primary activity must provide a brief description of its political activities such as whether or not it supports candidates or measures which share a common political affiliation.

**VIII YOU MUST SPECIFY WHAT DISPOSITION WILL BE MADE OF SURPLUS FUNDS IN THE EVENT OF TERMINATION**

A committee must specify what use will be made of any surplus funds. Elections Code Section 12400, et seq. prohibits personal use of campaign funds and specifies permissible uses of surplus campaign funds. Elections Code Section 29795 specifies permissible uses of funds received by state ballot measure committees. The Fair Political Practices Commission has no authority to interpret the Elections Code. Contact the enforcement official for your jurisdiction if you have any questions.

**VERIFICATION:**

The statement must be signed and verified by the committee treasurer. If this committee is controlled by a candidate, officeholder or state ballot measure proponent, the candidate, officeholder or proponent must also sign and verify the statement. If a ballot measure committee is controlled by two or three candidates or officeholders, each candidate must sign the Statement of Organization. If more than three candidates or officeholders control a ballot measure committee, one of the candidates or officeholders may sign the Statement of Organization on behalf of all controlling candidates or officeholders.

CHAMBERS OF  
**The Superior Court**

FREDERICK A. JONES  
HALL OF JUSTICE  
800 SOUTH VICTORIA AVENUE  
VENTURA, CA 93009  
(805) 654-2915

June 1, 1989

Secretary of State  
Political Reform Division  
Post Office Box 1467  
Sacramento, California 95812-1467

Gentlemen:

Enclosed is Form 410 pertaining to Frederick A. Jones, Superior Court Judge, Re-election Committee.

The sole purpose of the establishment of this committee is to facilitate and document the transfer of already deposited FPPC Form 502 Campaign Bank Account funds to an account controlled by my controlled committee I.D. Number 781378.

Thank you for your cooperation in this matter.

Very truly yours,

*Frederick A. Jones*

FREDERICK A. JONES  
Judge of the Superior Court

FAJ:spg

Enclosure

cc - Richard Dean, County Clerk  
County of Ventura

Ms. Margret Ellison, File No. A-89-169  
Counsel, Legal Division  
California Fair Political  
Practices Commission



Form 410  
1989

FILE AN ORIGINAL AND ONE  
COPY OF THIS FORM WITH:

Secretary of State  
Political Reform Division  
P.O. Box 1467  
Sacramento, CA 95812-1467

AND, IF APPLICABLE, FILE ONE  
COPY OF THIS FORM WITH:

The city or county filing officer, if any,  
with whom the committee must file its  
original campaign disclosure  
statements

# STATEMENT OF ORGANIZATION (RECIPIENT COMMITTEE)

(Government Code Sections 84101-84103)

IF AMENDMENT  
ENTER I.D. NUMBER

(Type or Print in Ink)

FULL NAME OF COMMITTEE:

FREDERICK A. JONES, SUPERIOR COURT JUDGE, RE-ELECTION COMMITTEE

STREET ADDRESS OF COMMITTEE:

NO. AND STREET

CITY

STATE

ZIP CODE

COUNTY:

560 RANCHO VISTA LANE, SANTA PAULA, CALIFORNIA 93060

VENTURA

MAILING ADDRESS (IF DIFFERENT):

NO. AND STREET (OR P.O. BOX)

CITY

STATE

ZIP CODE

DATE QUALIFIED AS COMMITTEE (MO., DAY, YR.):

DECEMBER 30, 1988

AREA CODE

PHONE NUMBER

805/654-2915

A FOR OFFICIAL USE ONLY

B FOR OFFICIAL USE ONLY

## I TREASURER AND OTHER PRINCIPAL OFFICERS

| POSITION  | NAME AND ADDRESS AND MAILING ADDRESS, IF DIFFERENT | (AREA CODE)  | BUSINESS PHONE NO |
|-----------|--|--------------|-------------------|
| TREASURER | FREDERICK A. JONES                                 | 805/654-2915 |                   |
|           |  |              |                   |
|           |  |              |                   |

Attach additional information on appropriately labeled continuation sheets.

## II IS THIS A BROAD BASED POLITICAL COMMITTEE? (See definition and important information on reverse.)

☐ YES

Enter the date on or before which the committee qualified as a broad based committee: \_\_\_\_\_

☒ NO

## III IS THIS COMMITTEE CONTROLLED BY A CANDIDATE, OFFICEHOLDER OR STATE MEASURE PROPONENT? (See definition and important information on reverse.)

☒ YES (Complete the following)

☐ NO

IF THIS COMMITTEE IS CONTROLLED BY AN OFFICEHOLDER OR A CANDIDATE, THE NAME OF THE CONTROLLING CANDIDATE OR OFFICEHOLDER, THE ELECTIVE OFFICE SOUGHT OR HELD AND DISTRICT NUMBER, IF ANY, MUST BE LISTED. IF THIS IS A BALLOT MEASURE COMMITTEE CONTROLLED BY MORE THAN ONE CANDIDATE, THE NAME OF EACH CONTROLLING CANDIDATE MUST BE LISTED. IF THIS COMMITTEE IS CONTROLLED BY A STATE MEASURE PROPONENT, THE NAME OF THE STATE MEASURE PROPONENT MUST BE LISTED.

FREDERICK A. JONES

SUPERIOR COURT JUDGE

VENTURA COUNTY

Attach additional information on appropriately labeled continuation sheets.

## IV IS THIS COMMITTEE ACTING JOINTLY WITH OTHER COMMITTEES?

☐ YES (Complete the following)

☒ NO

NAMES OF COMMITTEES WITH WHICH THIS COMMITTEE ACTS JOINTLY ALSO PROVIDE THE COMMITTEES' IDENTIFICATION NUMBERS OR THE TREASURERS' NAMES AND PERMANENT STREET ADDRESSES.

|  |  |
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Attach additional information on appropriately labeled continuation sheets.

**YOU MUST COMPLETE THE VERIFICATION ON PAGE 2**

For information required to be provided to you pursuant to the Information Practices Act of 1977, see "Information Manual on Campaign Disclosure Provisions of the Political Reform Act."

**INSTRUCTIONS FOR PREPARING COVER PAGE  
STATEMENT OF ORGANIZATION  
FORM 410**

**NAME AND ADDRESS OF COMMITTEE:**

Provide the full name of the committee. A committee may use only one name. If this committee is controlled by an individual, entity or other person, the name of the individual, entity or other person must be included in the name of the committee. For example, when a candidate, officeholder or proponent of a state ballot measure controls a committee, his or her name must be included in the name of the committee.

There are special rules for "sponsored committees," see Part V.

In the case of a committee supporting or opposing a ballot measure as its principal activity, all references to the committee required by the Political Reform Act must include the statement, "Committee For Proposition \_\_\_\_\_," if supporting a measure, or "Committee Against Proposition \_\_\_\_\_," if opposing a measure.

The street address of the committee must be reported. A post office box number is *NOT* acceptable.

The mailing address of the committee must be reported if it is different from the committee's street address. A post office box number *IS* acceptable for the mailing address.

**DATE QUALIFIED AS A COMMITTEE:**

Date qualified is the date the committee received contributions totaling \$1,000 or more during a calendar year. If a Form 410 is filed prior to receipt of \$1,000 in contributions, enter "Not Yet Qualified" in the "Date Qualified as Committee" space.

**I. TREASURER AND OTHER PRINCIPAL OFFICERS:**

The treasurer's name, home or business address, a mailing address, if different than home or business address, and a phone number where the treasurer can be reached during business hours must be listed.

If the committee has "principal officers" other than the treasurer, such as a president, secretary or chairperson, they must be identified.

**II. IS THIS A BROAD BASED POLITICAL COMMITTEE?**

To qualify as a "broad based committee," the committee may not be controlled by a candidate or officeholder, the committee must have been in existence for more than six months, must have received contributions from 100 or more persons at any time during the period covered by the current fiscal year (July 1 - June 30) and the previous two fiscal years, and must have made contributions to five or more candidates at any time during the period covered by the current fiscal year and the previous two fiscal years.

Enter the date on or before which the committee qualified as a broad based committee. If the committee qualified as a broad based committee on or before January 1, 1989, enter "On or before January 1, 1989."

**III. IS THIS COMMITTEE CONTROLLED BY A CANDIDATE, OFFICEHOLDER OR PROPONENT OF A STATE BALLOT MEASURE?**

A committee is controlled by a candidate, officeholder or proponent of a state ballot measure if the candidate, officeholder or proponent, the agent or any other committee they control, has a significant influence on the actions or decisions of the committee.

Because of new provisions contained in Proposition 73 which was passed by the voters on June 7, 1988, candidates and officeholders may not jointly control committees formed to support their own candidacies, or committees formed to support or oppose other candidates. Candidates and officeholders may jointly control a committee whose only activity is supporting or opposing a ballot measure.

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**V IS THIS A SPONSORED COMMITTEE?** (Refer to the instructions on the reverse side for definitions and rules regarding the name of a sponsored committee.)☒ NO☐ YES (Provide name and address of sponsor. If the committee has more than one sponsor, provide the name and address of each sponsor on an attachment.)

Name of Sponsor: \_\_\_\_\_

Address of Sponsor: \_\_\_\_\_

**VI IF PRIMARILY FORMED TO SUPPORT OR OPPOSE SPECIFIC CANDIDATES OR MEASURES, LIST SPECIFIC CANDIDATES OR MEASURES SUPPORTED OR OPPOSED.**

| CANDIDATE'S NAME/MEASURE'S FULL TITLE<br>INCLUDING BALLOT NUMBER OR LETTER | SUPPORT | OPPOSE | OFFICE SOUGHT OR HELD BY CANDIDATE OR MEASURE'S<br>JURISDICTION (Include district number, city or county, as applicable.) |
|--|---------|--------|---|
| FREDERICK A. JONES   | XX      |        | SUPERIOR COURT JUDGE  |
|  |         |        | VENTURA COUNTY  |
|  |         |        |   |

*Attach additional information on appropriately labeled continuation sheets.***VII COMMITTEE'S PRINCIPAL ACTIVITY IF NOT SUPPORTING OR OPPOSING SPECIFIC CANDIDATES OR MEASURES -- PLEASE**CHECK BOX TO INDICATE THE COMMITTEE'S LEVEL OF ACTIVITY: ☐ CITY ☐ COUNTY ☐ STATE*Attach additional information on appropriately labeled continuation sheets.***VIII YOU MUST SPECIFY WHAT DISPOSITION WILL BE MADE OF SURPLUS FUNDS IN THE EVENT OF TERMINATION.**ALL FUNDS TO BE TRANSFERRED TO THE CITIZEN COMMITTEE TO ELECT FREDERICK A.JONES MUNICIPAL COURT JUDGE, ID # 781378.**VERIFICATION****I HAVE USED ALL REASONABLE DILIGENCE IN PREPARING THIS STATEMENT. I HAVE REVIEWED THE STATEMENT AND TO THE BEST OF MY KNOWLEDGE THE INFORMATION CONTAINED HEREIN IS TRUE AND COMPLETE.****I CERTIFY UNDER PENALTY OF PERJURY UNDER THE LAWS OF THE STATE OF CALIFORNIA THAT THE FOREGOING IS TRUE AND CORRECT.**EXECUTED ON \_\_\_\_\_ AT VENTURA, CA BY \_\_\_\_\_  
(DATE) (CITY AND STATE) (SIGNATURE OF TREASURER)EXECUTED ON \_\_\_\_\_ AT VENTURA, CA BY \_\_\_\_\_  
(DATE) (CITY AND STATE) (SIGNATURE OF CONTROLLING CANDIDATE, OFFICEHOLDER OR STATE MEASURE PROPONENT)EXECUTED ON \_\_\_\_\_ AT \_\_\_\_\_ BY \_\_\_\_\_  
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**INSTRUCTIONS FOR PREPARING PAGE TWO  
STATEMENT OF ORGANIZATION**

**FORM 410**

**V      *SPONSORED COMMITTEE:***

"Sponsored committee" means a recipient committee, other than a candidate's or officeholder's controlled committee, which has one or more sponsors. Most political action committees are "sponsored committees."

An organization, business or other entity is a sponsor if any of the following apply:

- (1) The committee receives all or nearly all of its contributions from the entity or organization, or its members, officers, employees, or shareholders; or
- (2) The entity or organization collects contributions for the committee by use of payroll deductions or dues from its members, officers or employees; or
- (3) The entity or organization, alone or in combination with other organizations or entities, provides all or nearly all of the administrative services for the committee; or
- (4) The entity or organization, alone or in combination with other organizations, sets the policies for soliciting contributions or making expenditures of committee funds.

A sponsored committee (this includes most political action committees), must include the name of its sponsor in the name of the committee. If the sponsored committee has more than one sponsor and the sponsors are members of an industry or other identifiable group, the name of the committee must include a term identifying that industry or group.

**VI      *IF PRIMARILY FORMED TO SUPPORT OR OPPOSE SPECIFIC CANDIDATES OR MEASURES, LIST SPECIFIC CANDIDATES OR MEASURES SUPPORTED OR OPPOSED:***

A committee is "primarily formed" when its principal activity is raising or spending money to support or oppose a specific candidate or measure or a group of specific candidates all being voted upon in the same jurisdiction on the same date. This type of committee is usually formed for a specific election and then terminates after the election when all debts have been paid and there are no surplus funds.

**VII      *COMMITTEE'S PRINCIPAL ACTIVITY IF NOT SUPPORTING OR OPPOSING SPECIFIC CANDIDATES OR MEASURES:***

A committee which does not support or oppose specific candidates or measures as its primary activity must provide a brief description of its political activities such as whether or not it supports candidates or measures which share a common political affiliation.

**VIII      *YOU MUST SPECIFY WHAT DISPOSITION WILL BE MADE OF SURPLUS FUNDS IN THE EVENT OF TERMINATION***

A committee must specify what use will be made of any surplus funds. Elections Code Section 12400, et seq. prohibits personal use of campaign funds and specifies permissible uses of surplus campaign funds. Elections Code Section 29795 specifies permissible uses of funds received by state ballot measure committees. The Fair Political Practices Commission has no authority to interpret the Elections Code. Contact the enforcement official for your jurisdiction if you have any questions.

***VERIFICATION:***

The statement must be signed and verified by the committee treasurer. If this committee is controlled by a candidate, officeholder or state ballot measure proponent, the candidate, officeholder or proponent must also sign and verify the statement. If a ballot measure committee is controlled by two or three candidates or officeholders, each candidate must sign the Statement of Organization. If more than three candidates or officeholders control a ballot measure committee, one of the candidates or officeholders may sign the Statement of Organization on behalf of all controlling candidates or officeholders.



CHAMBERS OF  
**The Superior Court**

**FREDERICK A. JONES**

HALL OF JUSTICE  
800 SOUTH VICTORIA AVENUE  
VENTURA, CA 93009  
(805) 654-2915

June 1, 1989

Secretary of State  
Political Reform Division  
Post Office Box 1467  
Sacramento, California 95812-1467

Gentlemen:

Enclosed is Form 410 (1989 - Amendment) pertaining to the Citizen Committee to Elect Frederick A. Jones, Municipal Court Judge, Office No. One, I.D. Number 781378.

This is intended to amend the original Statement of Organization to change the specification of disposition of surplus funds upon termination from "return to contributors" to "return to contributors including repayment of loans to committee".

Thank you for your cooperation in this matter.

Very truly yours,

*Frederick A. Jones*

FREDERICK A. JONES  
Judge of the Superior Court

FAJ:spg

Enclosure

cc - Richard Dean, County Clerk  
County of Ventura

Ms. Margret Ellison, File No. A-89-169  
Counsel, Legal Division  
California Fair Political  
Practices Commission



Form 410  
1989

FILE AN ORIGINAL AND ONE  
COPY OF THIS FORM WITH:

Secretary of State  
Political Reform Division  
P.O. Box 1467  
Sacramento, CA 95812-1467

AND, IF APPLICABLE, FILE ONE  
COPY OF THIS FORM WITH:

The city or county filing officer, if any,  
with whom the committee must file its  
original campaign disclosure  
statements

# STATEMENT OF ORGANIZATION (RECIPIENT COMMITTEE)

(Government Code Sections 84101-84103)

781378

IF AMENDMENT  
ENTER I.D. NUMBER

AMENDMENT  
(Type or Print in ink)

FULL NAME OF COMMITTEE:

CITIZEN COMMITTEE/ELECT FREDERICK A. JONES MUNICIPAL COURT JUDGE OFF. #1

STREET ADDRESS OF COMMITTEE:  
(NOT P.O. BOX)

NO AND STREET

CITY

STATE

ZIP CODE

COUNTY:

NO CHANGE

MAILING ADDRESS (IF DIFFERENT):

NO AND STREET (OR P.O. BOX)

CITY

STATE

ZIP CODE

DATE QUALIFIED AS COMMITTEE (MO., DAY, YR.):

AREA CODE

PHONE NUMBER

A FOR OFFICIAL USE ONLY

B FOR OFFICIAL USE ONLY

MARCH 16, 1978

NO CHANGE

## I TREASURER AND OTHER PRINCIPAL OFFICERS

| POSITION  | NAME AND ADDRESS AND MAILING ADDRESS, IF DIFFERENT | (AREA CODE) | BUSINESS PHONE NO |
|-----------|--|-------------|-------------------|
| TREASURER |  |             |                   |
|           | NO CHANGE  |             |                   |
|           |  |             |                   |

Attach additional information on appropriately labeled continuation sheets.

## II IS THIS A BROAD BASED POLITICAL COMMITTEE? (See definition and important information on reverse.)

☐ YES Enter the date on or before which the committee qualified as a broad based committee: \_\_\_\_\_

☐ NO

## III IS THIS COMMITTEE CONTROLLED BY A CANDIDATE, OFFICEHOLDER OR STATE MEASURE PROPONENT? (See definition and important information on reverse.)

☐ YES (Complete the following)

☐ NO

IF THIS COMMITTEE IS CONTROLLED BY AN OFFICEHOLDER OR A CANDIDATE, THE NAME OF THE CONTROLLING CANDIDATE OR OFFICEHOLDER, THE ELECTIVE OFFICE SOUGHT OR HELD AND DISTRICT NUMBER, IF ANY, MUST BE LISTED. IF THIS IS A BALLOT MEASURE COMMITTEE CONTROLLED BY MORE THAN ONE CANDIDATE, THE NAME OF EACH CONTROLLING CANDIDATE MUST BE LISTED. IF THIS COMMITTEE IS CONTROLLED BY A STATE MEASURE PROPONENT, THE NAME OF THE STATE MEASURE PROPONENT MUST BE LISTED.

NO CHANGE

Attach additional information on appropriately labeled continuation sheets.

## IV IS THIS COMMITTEE ACTING JOINTLY WITH OTHER COMMITTEES?

☐ YES (Complete the following)

☒ NO

NAMES OF COMMITTEES WITH WHICH THIS COMMITTEE ACTS JOINTLY. ALSO PROVIDE THE COMMITTEES' IDENTIFICATION NUMBERS OR THE TREASURERS' NAMES AND PERMANENT STREET ADDRESSES.

Attach additional information on appropriately labeled continuation sheets.

YOU MUST COMPLETE THE VERIFICATION ON PAGE 2

For information required to be provided to you pursuant to the Information Practices Act of 1977, see "Information Manual on Campaign Disclosure Provisions of the Political Reform Act."

**INSTRUCTIONS FOR PREPARING COVER PAGE  
STATEMENT OF ORGANIZATION  
FORM 410**

**NAME AND ADDRESS OF COMMITTEE:**

Provide the full name of the committee. A committee may use only one name. If this committee is controlled by an individual, entity or other person, the name of the individual, entity or other person must be included in the name of the committee. For example, when a candidate, officeholder or proponent of a state ballot measure controls a committee, his or her name must be included in the name of the committee.

There are special rules for "sponsored committees," see Part V.

In the case of a committee supporting or opposing a ballot measure as its principal activity, all references to the committee required by the Political Reform Act must include the statement, "Committee For Proposition \_\_\_\_\_," if supporting a measure, or "Committee Against Proposition \_\_\_\_\_," if opposing a measure.

The street address of the committee must be reported. A post office box number is *NOT* acceptable.

The mailing address of the committee must be reported if it is different from the committee's street address. A post office box number *IS* acceptable for the mailing address.

**DATE QUALIFIED AS A COMMITTEE:**

Date qualified is the date the committee received contributions totaling \$1,000 or more during a calendar year. If a Form 410 is filed prior to receipt of \$1,000 in contributions, enter "Not Yet Qualified" in the "Date Qualified as Committee" space.

**I. TREASURER AND OTHER PRINCIPAL OFFICERS:**

The treasurer's name, home or business address, a mailing address, if different than home or business address, and a phone number where the treasurer can be reached during business hours must be listed.

If the committee has "principal officers" other than the treasurer, such as a president, secretary or chairperson, they must be identified.

**II. IS THIS A BROAD BASED POLITICAL COMMITTEE?**

To qualify as a "broad based committee," the committee may not be controlled by a candidate or officeholder, the committee must have been in existence for more than six months, must have received contributions from 100 or more persons at any time during the period covered by the current fiscal year (July 1 - June 30) and the previous two fiscal years, and must have made contributions to five or more candidates at any time during the period covered by the current fiscal year and the previous two fiscal years.

Enter the date on or before which the committee qualified as a broad based committee. If the committee qualified as a broad based committee on or before January 1, 1989, enter "On or before January 1, 1989."

**III. IS THIS COMMITTEE CONTROLLED BY A CANDIDATE, OFFICEHOLDER OR PROPONENT OF A STATE BALLOT MEASURE?**

A committee is controlled by a candidate, officeholder or proponent of a state ballot measure if the candidate, officeholder or proponent, their agent or any other committee they control, has a significant influence on the actions or decisions of the committee.

Because of new provisions contained in Proposition 73 which was passed by the voters on June 7, 1988, candidates and officeholders may not jointly control committees formed to support their own candidacies, or committees formed to support or oppose other candidates. Candidates and officeholders may jointly control a committee whose only activity is supporting or opposing a ballot measure.

COMMITTEE \_\_\_\_\_

**V IS THIS A SPONSORED COMMITTEE?** (Refer to the instructions on the reverse side for definitions and rules regarding the name of a sponsored committee.)

☐ NO

☐ YES (Provide name and address of sponsor. If the committee has more than one sponsor, provide the name and address of each sponsor on an attachment.)

Name of Sponsor: \_\_\_\_\_

Address of Sponsor: \_\_\_\_\_

**VI IF PRIMARILY FORMED TO SUPPORT OR OPPOSE SPECIFIC CANDIDATES OR MEASURES, LIST SPECIFIC CANDIDATES OR MEASURES SUPPORTED OR OPPOSED.**

| CANDIDATE'S NAME/MEASURE'S FULL TITLE<br>INCLUDING BALLOT NUMBER OR LETTER | SUPPORT | OPPOSE | OFFICE SOUGHT OR HELD BY CANDIDATE OR MEASURE'S<br>JURISDICTION (Include district number, city or county, as applicable.) |
|--|---------|--------|---|
|  |         |        |   |
|  |         |        |   |
|  |         |        |   |

*Attach additional information on appropriately labeled continuation sheets.*

**VII COMMITTEE'S PRINCIPAL ACTIVITY IF NOT SUPPORTING OR OPPOSING SPECIFIC CANDIDATES OR MEASURES -- PLEASE**

CHECK BOX TO INDICATE THE COMMITTEE'S LEVEL OF ACTIVITY: ☐ CITY ☐ COUNTY ☐ STATE

*Attach additional information on appropriately labeled continuation sheets.*

**VIII YOU MUST SPECIFY WHAT DISPOSITION WILL BE MADE OF SURPLUS FUNDS IN THE EVENT OF TERMINATION.**

RETURN TO CONTRIBUTORS INCLUDING REPAYMENT OF LOANS TO COMMITTEE

### VERIFICATION

**I HAVE USED ALL REASONABLE DILIGENCE IN PREPARING THIS STATEMENT. I HAVE REVIEWED THE STATEMENT AND TO THE BEST OF MY KNOWLEDGE THE INFORMATION CONTAINED HEREIN IS TRUE AND COMPLETE.**

**I CERTIFY UNDER PENALTY OF PERJURY UNDER THE LAWS OF THE STATE OF CALIFORNIA THAT THE FOREGOING IS TRUE AND CORRECT.**

EXECUTED ON \_\_\_\_\_ AT VENTURA, CA BY \_\_\_\_\_  
(DATE) (CITY AND STATE) (SIGNATURE OF TREASURER)

EXECUTED ON \_\_\_\_\_ AT VENTURA, CA BY \_\_\_\_\_  
(DATE) (CITY AND STATE) (SIGNATURE OF CONTROLLING CANDIDATE, OFFICEHOLDER OR STATE MEASURE PROPONENT)

EXECUTED ON \_\_\_\_\_ AT \_\_\_\_\_ BY \_\_\_\_\_  
(DATE) (CITY AND STATE) (SIGNATURE OF CONTROLLING CANDIDATE, OFFICEHOLDER OR STATE MEASURE PROPONENT)

EXECUTED ON \_\_\_\_\_ AT \_\_\_\_\_ BY \_\_\_\_\_  
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**FORM 410**

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